

## **Application Data Sheet**

### **Application Information**

Application number:	TBA
Filing Date:	12/28/01
Application Type:	Regular
Subject Matter:	Utility
Suggested Classification:	
Suggested Group Art Unit:	1754
CD-ROM or CD-R?:	None
Number of CD disks:	
Number of copies of CDs:	
Sequence Submission?:	None
Computer Readable Form (CRF)?:	No
Number of copies of CRF:	
Title:	METHOD FOR FORMING AN ARRAY OF SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF
Attorney Docket Number:	11321-P012USD3
Request for Early Publication?:	No
Request for Non-Publication?:	No
Suggested Drawing Figure:	1
Total Drawing Sheets:	21
Small Entity?:	Yes
Petition included?:	No
Petition Type:	
Licensed US Govt. Agency:	
Contract or Grant Numbers:	
Secrecy Order in Parent Appl.?:	No

10034746-12301  
10034746-12301

### **Applicant Information**

Applicant Authority type: Inventor  
Primary Citizenship Country: US  
Status: Full Capacity  
Given Name: Richard  
Middle Name: E.  
Family Name: Smalley  
Name Suffix:  
City of Residence: Houston  
State or Province of Residence: TX  
Country of Residence: US  
Street of mailing address: 1816 Bolsover Street  
City of mailing address: Houston  
State or Province of mailing address: TX  
Country of mailing address: US  
Postal or Zip Code of mailing address: 77005

Applicant Authority type: Inventor  
Primary Citizenship Country: US  
Status: Full Capacity  
Given Name: Daniel  
Middle Name: T.  
Family Name: Colbert  
City of Residence: Houston  
State or Province of Residence: TX  
Country of Residence: US  
Street of mailing address: 1911 Milford Street  
City of mailing address: Katy  
State or Province of mailing address: TX  
Country of mailing address: US

10034745-133804

Postal or Zip Code of mailing address: 77098  
Applicant Authority type: Inventor  
Primary Citizenship Country: US  
Status: Full Capacity  
Given Name: Hongjie  
Middle Name:  
Family Name: Dai  
Name Suffix:  
City of Residence: Sunnyvale  
State or Province of Residence: CA  
Country of Residence: US  
Street of mailing address: 365 Pescadero Street  
City of mailing address: Sunnyvale  
State or Province of mailing address: CA  
Country of mailing address: US  
Postal or Zip Code of mailing address: 94068

Applicant Authority type: Inventor  
Primary Citizenship Country: CN/US  
Status: Full Capacity  
Given Name: Jie  
Middle Name:  
Family Name: Liu  
Name Suffix:  
City of Residence: Houston  
State or Province of Residence: TX  
Country of Residence: US  
Street of mailing address: 5825 Gulfton #3214  
City of mailing address: Houston  
State or Province of mailing address: TX  
Country of mailing address: US

Postal or Zip Code of mailing address: 77081  
 Applicant Authority type: Inventor  
 Primary Citizenship Country: US  
 Status: Full Capacity  
 Given Name: Andrew  
 Middle Name: G.  
 Family Name: Rinzler  
 City of Residence: Houston  
 State or Province of Residence: TX  
 Country of Residence: US  
 Street of mailing address: Apartment 171, 6666 Chetwood Street  
 City of mailing address: Houston  
 State or Province of mailing address: TX  
 Country of mailing address: US  
 Postal or Zip Code of mailing address: 77081

Applicant Authority type: Inventor  
 Primary Citizenship Country: US  
 Status: Full Capacity  
 Given Name: Jason  
 Middle Name: H.  
 Family Name: Hafner  
 Name Suffix:  
 City of Residence: Houston  
 State or Province of Residence: TX  
 Country of Residence: US  
 Street of mailing address: 2129 Banks Street  
 City of mailing address: Houston  
 State or Province of mailing address: TX  
 Country of mailing address: US  
 Postal or Zip Code of mailing address: 77098

10034745-12304

Applicant Authority type: Inventor  
Primary Citizenship Country: US  
Status: Full Capacity  
Given Name: Ken  
Middle Name:  
Family Name: Smith  
City of Residence: Houston  
State or Province of Residence: TX  
Country of Residence: US  
Street of mailing address: 11322 Birdwing Lane  
City of mailing address: Houston  
State or Province of mailing address: TX  
Country of mailing address: US  
Postal or Zip Code of mailing address: 77067

Applicant Authority type: Inventor  
Primary Citizenship Country: CN/US  
Status: Full Capacity  
Given Name: Ting  
Middle Name:  
Family Name: Guo  
City of Residence: La Jolla  
State or Province of Residence: CA  
Country of Residence: US  
Street of mailing address: 8176 Caminto Sonoma  
City of mailing address: La Jolla  
State or Province of mailing address: CA  
Country of mailing address: US  
Postal or Zip Code of mailing address: 92037

Applicant Authority type: Inventor  
 Primary Citizenship Country: RU/US  
 Status: Full Capacity  
 Given Name: Pavel  
 Middle Name:  
 Family Name: Nikolaev  
 City of Residence: Houston  
 State or Province of Residence: TX  
 Country of Residence: US  
 Street of mailing address: 2232½ Troon  
 City of mailing address: Houston  
 State or Province of mailing address: TX  
 Country of mailing address: US  
 Postal or Zip Code of mailing address: 77019

Applicant Authority type: Inventor  
 Primary Citizenship Country: DE  
 Status: Full Capacity  
 Given Name: Andreas  
 Middle Name:  
 Family Name: Thess  
 City of Residence: Martinsried  
 State or Province of Residence:  
 Country of Residence: DE  
 Street of mailing address: Max-Plank-Institut Für, Biochemie Abteilung  
 Molekulace Strukturbiologie, Am Klopferspitz  
 189  
 City of mailing address: Martinsried  
 State or Province of mailing address:  
 Country of mailing address: DE

Postal or Zip Code of mailing address: D-82152

### Correspondence Information

Correspondence Customer Number:

Name: Ross Spencer Garsson

Street of mailing address: 100 Congress Avenue  
Suite 800

City of mailing address: Austin

State or Province of mailing address: TX

Country of mailing address: US

Postal or Zip Code of mailing address: 78701

Phone number: 512.370.2870

Fax number: 512.370.2850

E-Mail address: rgarsson@winstead.com

**Representative Designation:**      **Registration Number:**      **Representative Name:**

Primary	38,150	Ross S. Garsson
Associate	34,011	Robert C. Shaddox
Associate	36,574	Hugh R. Kress
Associate	41,297	Reece A. Scott

### Domestic Priority Information

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
This Application	Division of	09/380,545	09/03/1999
09/380,545	US National Stage	PCT/US98/04513	03/06/1998
PCT/US98/04513	PCT	60/067,325	12/05/1997
		60/064,531	11/05/1997
		60/063,675	10/29/1997
		60/055,037	08/08/1997
		60/047,854	05/29/1997

		60/040,152	03/07/1997
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### Foreign Priority Information

Country:	Application number:	Filing Date:	Priority Claimed:

### Assignee Information

Assignee name: William Marsh Rice University

Street of mailing address: 6100 Main Street

City of mailing address: Houston

State or Province of mailing address: TX

Country of mailing address: US

Postal or Zip Code of mailing address: 77005

1034745-12904